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# Piezoelectric microphone via a digital light processing 3D printing process

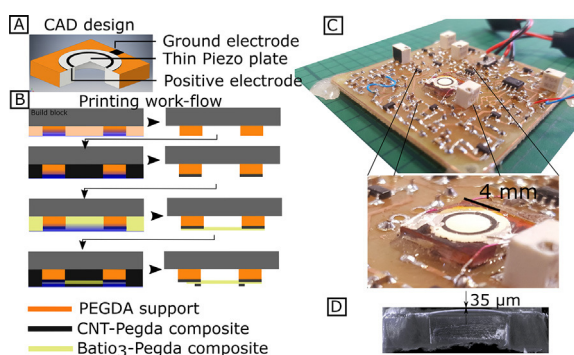
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## HIGHLIGHTS

- Demonstration of fully 3D printed working piezoelectric microphone.
- Characterization of resin properties and print results for Barium Titanate nanoparticles and Multi-Walled Carbon Nanotube in a polymer matrix.
- Adaptation of commercial digital light processing 3D printer to multi-material integrated build.

## GRAPHICAL ABSTRACT



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## ABSTRACT

In nature sensors possess complex interlocking 3D structures and extremely localized material properties that allow processing of incredibly complex information in a small space. Acoustic sensor design is limited by fabrication processes, often MEMS based, where there is limited scope for fully 3D creations due to planar fabrication methods. Here we investigate the application of 3D printing via digital light processing (DLP) to integrate piezoelectric, conductive and structural polymer layers to create a complete electro-mechanical device. We demonstrate a working piezoelectric acoustic sensor, capable of sending electric signals that can be picked up by pre-amp circuitry fabricated using a commercially available 3D printer. We show that the 3D printing of mechanically sensitive membranes with thicknesses down to 35  $\mu\text{m}$  and tunable resonant frequencies is possible and further show it is possible to create a fully working electro-acoustic device by embedding 3D printed piezoelectric and conductive parts. Realizing this design opens up the possibility of generating truly 3D structured functional prints that may be used in bio-inspired design.

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## 1. Introduction

Additive manufacturing technologies are known to offer benefits in terms of the speed, cost and versatility of the platform for small scale production and rapid prototyping, but beyond this the use of additive manufacturing to create materials with complex,

locally tuned physical properties or complex micro-structures not accessible to conventional production techniques is only beginning to be explored [1,2]. Biological sensors represent a paradigm shift in design approach since the sensors are rarely passive recorders of information, but complex micro-controllers in their own right performing much of the task of signal processing and filtering before export to the nervous system. In acoustic communication such signal processing often relies on mechanical coupling between strongly anisotropic diaphragms which is difficult to reproduce using traditional micro-machining techniques. Examples such as the helical

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shaped cochlear and associated Basilar membrane in mammalian hearing, capable of highly tonotopic mechanical frequency filtering [3] or the highly structured acoustic trachea in crickets and grasshoppers [4] have been studied due to their remarkable physical features. These examples are all highly complex 3D structures on a micro/millimeter length scale and pose a challenge to researchers in how to reproduce the essential physical mechanisms that these systems exploit, and yet be possible to fabricate with currently available technology [5,6].

A prime example is the coupled tympanic membranes of *Ormia ochracea*, which have extremely accurate directional sensing capabilities given the small size and spacing of the ears [7]. In *O. ochracea* the tympana are coupled via a raised bridge attached at the centre of each tympanum, where the mechanical properties of the coupling bridge must be closely tailored to the resonant frequencies and damping conditions of the system [8]. Many *Ormia* inspired directional microphones have been developed, with most seeking to flatten the natural 3D structure in a centrally supported see-saw like structure [9,10]. While this fits the requirements of MEMS fabrication very well, since it is often difficult and expensive to fabricate designs requiring much variation in the Z-plane, it introduces compromises such as requiring an air gap around the periphery of the diaphragms making the devices susceptible to problems of sensitivity and sound path difference around the device. Other examples of biological acoustic sensors such as the tonotopic localization of the Basilar membrane rely on considerably graded thickness variation in which would be extremely difficult to reproduce with MEMS fabrication techniques.

Additive manufacturing holds some promise as a method for creating true 3D biologically inspired sensors. Using fused deposition modelling techniques researchers have printed an acoustically sensitive membrane mimicking the geometric shape of a human tympanic membrane [11], and thickness varying membranes for sound absorption [12]. 2D ink-jet and 3D poly-jet printing have been used to build a complete capacitive microphone by printing conductive material onto a thin Mylar film which was then clamped to a 3D printed body [13]. Of particular interest are digital light processing (DLP) based stereo-lithographic printing techniques due to the high achievable print resolutions, 25–50 µm in commercially available systems, with custom-built printers reported that lower this still further to 10 µm [14], speed of fabrication [15] and compatibility with multi-material printing techniques [16].

Multi-material printing requires the printable resin to be switched during the build, and has been shown for different coloured resins using a top-down stereo-lithographic process with a solvent cleaning step between each material swap [17] and for hydrogels using digital light processing with an air drying stage between each material swap [18]. Base polymer resins for 3D printing may be enhanced or given entirely new properties via the addition of nanofillers to the resin, for example by adding barium titanate ( $\text{BaTiO}_3$ ) nanopowder into a 3D-printable fluid piezoelectric parts may be created [19–22], or the dielectric properties of the resin may be enhanced [23–25]. Incorporating carbon nanotubes, silver salts or silica nanoparticles may produce conductive parts [26–29]. Combining such functionally enhanced resins with recent DLP based multi-material printing techniques has allowed fully 3D printed micro-electromechanical devices such as capacitive microphones designed using multi-walled carbon nanotube-polymer composites as conductive layers [30].

In this work we demonstrate a piezoelectric microphone, comprising conductive, piezoelectric and inert polymer based parts, in a single integrated build. Functional 3D-printable composite resins are created by mixing barium titanate nanopowder and multi-walled carbon nanotubes into a PEGDA base monomer resin to make the piezoelectric and conductive parts. The 3D-printed microphone is integrated into a custom build pre-amplifier printed circuit board and the response characterized.

## 2. Materials and methods

All devices and test samples were created using a commercially available 3D printer (Asiga Pico plus 27), with slicing of CAD models performed by the manufacturer's software (Asiga Composer). The resolution is advertised as 27 µm in the X-Y plane with a minimum build layer thickness of 1 µm.

Resins for DLP 3D printing must comprise a base monomer material together with a photoinitiator. An absorber can be included to control the penetration depth of the light in the material. The monomer used here was poly(ethylene glycol) diacrylate (PEGDA, MW 250), with phenylbis(2,4,6-trimethylbenzoyl) phosphine oxide (Irgacure 819) as the photoinitiator. Sudan 1 (S1) was used as an absorber in concentrations of 0.1%, 0.2%, 0.4% and 0.8% by weight/weight, together with 1% (w/w) Irgacure 819. Preparations are sonicated for 30 min before use and stored in foiled wrapped containers to protect the resins from light.

For the piezoelectric parts barium titanate nanopowder ( $\text{BaTiO}_3$ ) with an average particle diameter of 500 nm in concentrations ranging from 33% to 66% (w/w) was added to the base resin. The barium titanate resin composite was then mixed in a Thinky ARE 250 planetary mixer (INTERTRONICS) before the sonication step. For the conductive parts multi-walled carbon nanotubes (MWCNT) with an average diameter of 9.5 nm and length 1.5 µm were added in concentrations from 0.1% to 1.25% (w/w). The conductive composite was mixed for 24 h in a magnetic stirrer before use.

PEGDA, Irgacure 819 and Sudan 1 were purchased from Sigma Aldrich. Barium titanate nanoparticles were purchased from US-NANO. Multi-walled carbon nanotubes were purchased from Nanocyl. All materials were used as received.

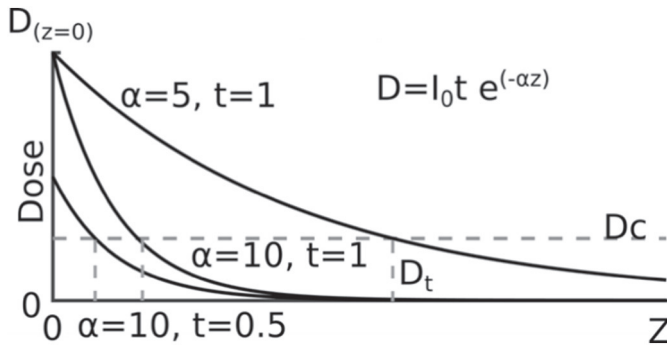
Successful DLP manufacture requires that the exposure time for each layer be tailored to the material's absorption and intensity of the light source [31]. If we consider the photopolymerizable resin with a surface at  $z = 0$  and an optical irradiance at the surface of the material of  $I_0$  in  $\text{W}/\text{cm}^2$  then the irradiance at depth  $z$  is given by Beer's law.

$$I(z) = I_0 e^{-\alpha z} \quad (1)$$

The parameter  $\alpha$  is the absorption coefficient of the material in  $\text{m}^{-1}$ . The corresponding dose at that depth is then  $D(z, t) = tI(z)$ , where  $t$  is the time in seconds. At some critical dose the polymerization of the resin will have progressed sufficiently for the material to be considered solid. Fig. 1 illustrates how the absorption properties of the fluid and the exposure time influence the total UV dose at increasing depth. The minimum dose required to cure the fluid at depth  $z$  is shown as a horizontal grey line, with the resulting print thickness ( $D_t$ ) being the point at which the dose energy drops below this critical dose. The depth of cured material can then be expressed in terms of the dose energy.

$$D_t = \frac{1}{\alpha} (\ln D_0 - \ln D_c) \quad (2)$$

By measuring the cured height ( $z_p$ ) of a 3D printed membrane (or other structure printed onto free space) at a range of exposure times values for the critical dose ( $D_c$ ) and absorption coefficient ( $\alpha$ ) can be estimated by fitting the results to Eq. (2). Fig. 2 gives measured membrane thicknesses for PEGDA with Sudan 1 concentrations of 0.2%, 0.4% and 0.8% (w/w) and for PEGDA with added barium titanate nanoparticles and with multi-walled carbon nanotubes in concentrations of 33%, 66% and 1% (w/w) respectively. Sudan 1 concentrations for all composites of barium titanate/PEGDA and MWCNT/PEGDA are held at 0.1% (w/w). Estimated absorption coefficients and critical doses for the materials are given in Table 1.



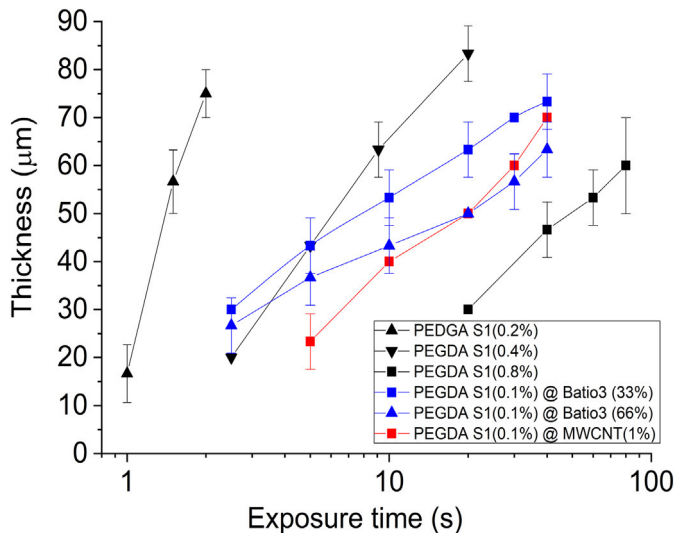
**Fig. 1.** Illustration of variation in dose energy with depth ( $z$ ) for absorption coefficients of  $\alpha = 10\mu\text{m}^{-1}$ ,  $t = 0.5\text{ s}$ ,  $\alpha = 10\mu\text{m}^{-1}$ ,  $t = 1\text{ s}$  and  $\alpha = 5\mu\text{m}^{-1}$ ,  $t = 1\text{ s}$ .

In addition to the depth calibration tests of the X-Y resolution of the printer were made using square arrays of blocks with dimensions ranging from  $200\mu\text{m}$  to  $1000\mu\text{m}$ . This additional test was deemed necessary as the diameter of the barium titanate nanoparticles was expected to significantly scatter the 3D printers 412 nm UV LED light source. The results of the print resolution tests may be found in Fig. 3.

Material changes during the print process were performed by manually pausing the Asiga pico plus at the correct layer height. Sliced CAD files were generated with gaps one or two build layers thick to facilitate this process. The parts were then cleaned in isopropyl alcohol for 30 s and immediately dried while the printable resin was changed and the printer restarted.

Acousto-mechanical behaviour of 3D printed diaphragms was measured with a scanning laser Doppler vibrometer (Polytec PSV MSA-100-3D). The acoustic source was an ESS Heil Air-Motion Transformer placed 50 cm from the device under test, with signals generated by an Agilent 3325A. A Bruel and Kjaer 4138 1/8 inch microphone was placed within 1 cm of the diaphragm to provide the reference signal. Electrical signals were recorded with the 3D printed diaphragm glued to a PCB circuit board with a custom built pre-amplifier circuit. Signals from the circuit were recorded with a Tektronix DPO 2014 oscilloscope with a sampling frequency of 31.25 MHz and averaged ( $N = 16$ ).

Thickness and morphology measurements were taken with a digital micrometer and confirmed via X-Ray Computer Tomography



**Fig. 2.** Measured membrane thickness for resin and resin composites with increasing exposure time.

**Table 1**

Estimated attenuation coefficients and critical dose from cured height values in Fig. 2.

Resin	Attenuation ( $\mu\text{m}^{-1}$ )	Critical dose ( $\text{mJ}/\text{cm}^2$ )
0.2% Sudan 1	0.00839	18.80
0.4% Sudan 1	0.0247	39.70
0.8% Sudan 1	0.0462	156.40
33% BaTiO <sub>3</sub>	0.0863	2.92
66% BaTiO <sub>3</sub>	0.136	1.13
1% CNT	0.0530	32.40

using the Bruker Skyscan 1172 with SHT 11 Megapixel camera and Hamamatsu 80 kV (100  $\mu\text{A}$ ) source.

Testing of  $d_{33}$  of the barium titanate/PEGDA composite were made by laser Doppler vibrometer measurements of the thickness mode resonant frequency [32, 33]. Test samples were polymerized between two glass slides with a spacer separation of  $150\mu\text{m}$  and cured in a UV oven for 10 min. Samples were poled for 2 h in silicone oil at  $100^\circ$  at 10 MV/m before removal and cleaning. The resistivity measurements of PEGDA-MWCNT composites were taken with an ohmmeter on similarly prepared samples with silver paint to reduce the contact resistance between the ohmmeter and the sample.

Models of the 3D-printed diaphragm were created using COMSOL Multiphysics 5.3a. Simulations primarily used the solid-acoustic interaction interface, where the diaphragms and 3D-printed block were simulated from CAD designs. Resonance frequencies and damping were simulated using the thermo-viscous acoustics physics and eigenfrequency analysis in two phases: the first using a plain PEGDA model where the mechanical properties of the material are estimated as a density of  $1183\text{ kg}/\text{m}^3$ , Young's modulus of 52.9 MPa and Poisson's ratio of 0.32. These models could be compared to impedance analogy and 3D-printed PEGDA only diaphragms and informed the generation of complete electro-mechanical models. The model was reduced to a 1/12 slice of the diaphragm relying on its axial symmetry to reduce computation time.

Simulating the electro-mechanical behaviour of the diaphragm requires knowledge of the mechanical properties of the piezoelectric nanocomposite, which was taken as having a Young's modulus of approximately 1 GPa from nano-indentation tests and a density of  $1832\text{ kg}/\text{m}^3$ . The mechanical properties of the conductive layers were not simulated, but simply treated as boundary terminals. Estimates of the piezoelectric behaviour of the barium titanate composite were made using the strain-charge form with a symmetrical compliance matrix based on the Young's modulus of 1 GPa above, and a coupling matrix,  $d$ , shown as follows:

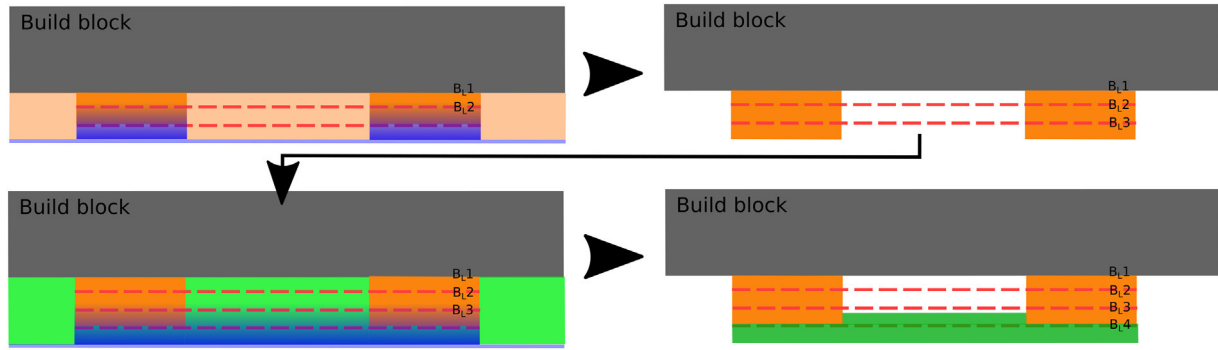
$$d = \begin{bmatrix} 0 & 0 & 1 \times 10^{-12} \\ 0 & 0 & 1 \times 10^{-12} \\ 0 & 0 & 3 \times 10^{-12} \\ 0 & 1 \times 10^{-12} & 0 \\ 1 \times 10^{-12} & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \text{ C/m} \quad (3)$$

Estimates of the stress on the membrane was made from impedance analogy, representing the compliances of the diaphragm and cavity as capacitances, damping as resistances and the mass of the diaphragm as an inductance [34]. The lumped diaphragm compliance ( $C_d$ ) is evaluated with reference to the deflection ( $w$ ) and potential energy of the system ( $E_p$ ).

$$C_d = \frac{w^2}{2E_p} = \frac{9r^2(1-\mu^2)}{16\pi Et^3} \quad (4)$$

Here  $r$  is the diaphragm radius,  $\mu$  is the Poisson's ratio,  $E$  is the Young's modulus of the diaphragm material and  $t$  is the diaphragm thickness. The lumped back cavity compliance can be given as [35]





**Fig. 3.** The process steps for changing materials mid-print. By pausing the print just before the diaphragm layer is printed the printer ink can be exchanged.

$$C_{bc} = \frac{V_{bc}}{\rho_0 c_0^2 A_v^2} \quad (5)$$

where  $V_{bc}$  is the volume of the back cavity,  $\rho_0$  is the density of air,  $c_0$  is the velocity of sound in air and  $A_v$  is the effective area of the diaphragm (here taken from an effective diameter of  $2/3$  the measured diaphragm diameter). The resonance frequencies of the mechanical system can then be calculated as a simple resonance equation with  $M$  as the mass of the diaphragm.

$$f_0 = \frac{1}{2\pi(C_d || C_{bc})M} \quad (6)$$

### 3. Results and discussion

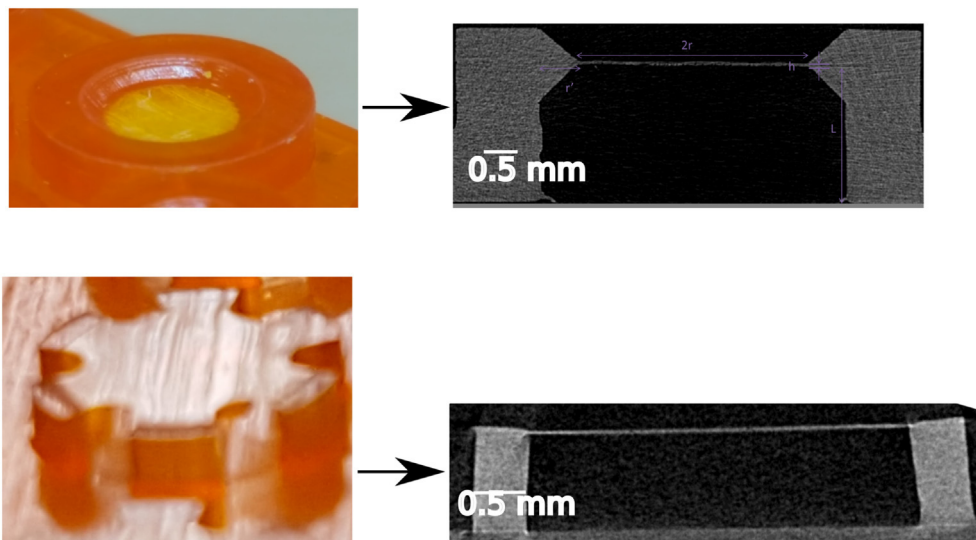
The process used to make the 3D printed microphones is illustrated in Fig. 3. As the diaphragm is not being printed directly onto a previously printed layer, diaphragm thickness must necessarily be greater than 1 build layer thickness since the minimum dose energy for the membrane layer must be sufficient to bond the membrane layer to the previous layer. All results shown here are for a diaphragm thickness of  $35\mu\text{m}$  (Fig. 4) which was found to be a repeatable

and reliable construction when printing with the barium titanate composite.

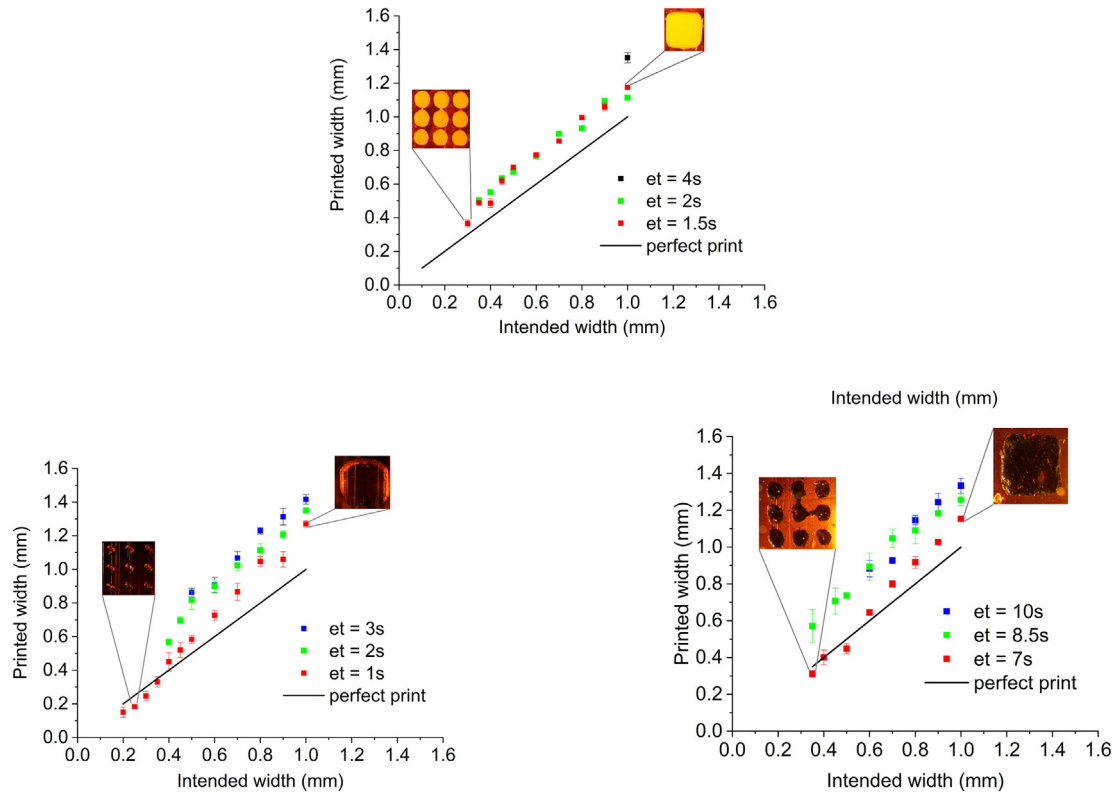
The effect of barium titanate and MWCNT nanofillers on the print accuracy are shown in Fig. 5 for the base PEGDA resin, barium titanate-PEGDA composite and MWCNT-PEGDA composite. The barium titanate-PEGDA composite's minimum resolution was  $0.35\text{ mm}$  in the X-Y plane, compared to  $0.2\text{ mm}$  for the PEGDA resin. Higher concentrations of barium titanate (50% and 66% w/w) were unable to resolve a square array of  $1\text{ mm}$  edge/ $1\text{ mm}$  separation, the largest array tested.

Results for measurements of the  $d_{33}$  of the barium titanate PEGDA composite and for the resistivity of the MWCNT-PEGDA composite are given in Fig. 6. While higher concentrations of barium titanate nanoparticles are clearly desirable the results of the print resolutions tests in Fig. 3 indicate that for feature sizes at the millimeter scale prints are limited to composites with less than 50% barium titanate by weight. For the piezoelectric diaphragm printed here the X-Y resolution was not important and so the higher concentration of 66% by weight was used, resulting in a predicted  $d_{33}$  of  $3\text{--}4\text{ pC/N}$ .

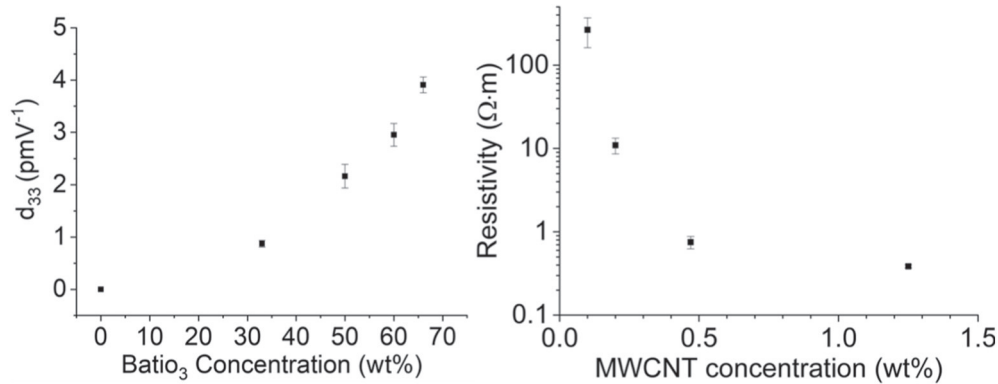
Conductivity tests with the MWCNT-PEGDA composite indicated little benefit from increasing concentration beyond 0.5% by weight. Samples printed on the Asiga pico plus 27 and samples created by polymerizing between two glass sides showed no noticeable differences in resistivity. Resistivity was also found to be invariant with the geometry of the 3D printed part.



**Fig. 4.** Photos of two different diaphragm designs (with and without holes) printed as base PEGDA (0.1% S1). CT scans of the prints are also shown, which were used to confirm printed dimensions and evaluate any curvature of the diaphragm resulting from residual stress.



**Fig. 5.** X-Y print resolution for a square array of blocks with side lengths and separation of 0.2–1 mm. Results show printed width and intended width for a resin comprised of (top) 0.1% Sudan 1, 1% Irgacure 819 and PEGDA monomer for exposure times (et) of 1, 2 and 3 s (left) of 33% barium titanate, 0.1% Sudan 1, 1% Irgacure 819 and PEGDA monomer for exposure times (et) of 1.5, 2 and 4 s and (right) 1% multi-walled carbon nanotubes, 0.1% Sudan 1, 1% Irgacure 819 and PEGDA monomer for exposure times of 7, 8.5 and 10 s.



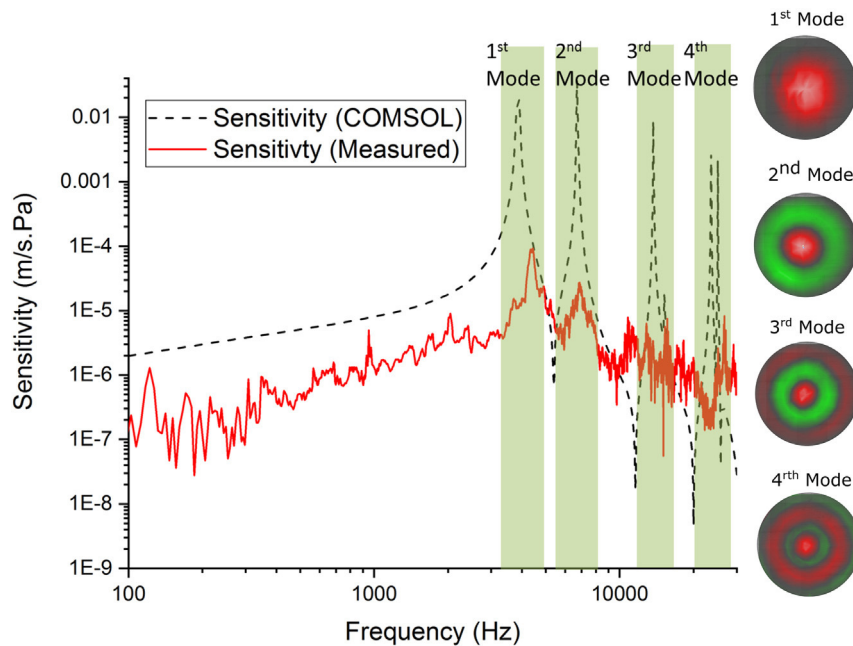
**Fig. 6.** Graphs of  $d_{33}$  (left) and resistivity (right) with increasing weight of barium titanate nanofillers and MWCNTs respectively.

**Table 2**

Calculated resonance frequencies for lumped parameter model compared with experimental results. Models used are for diaphragm compliance only, diaphragm compliance in parallel with adiabatic compliance of cavity and both diaphragm and adiabatic compliance with an additional radial stress of 1.6 MPa.

Model	Diaphragm diameter (mm)				
	1	1.5	2	3	3.5
Experiment	20.43 kHz	12.36 kHz	8.06 kHz	5.73 kHz	3.20 kHz
Diaphragm	15.48 kHz	6.88 kHz	3.87 kHz	1.72 kHz	1.26 kHz
+ Back cavity	15.61 kHz	7.32 kHz	4.81 kHz	3.75 kHz	3.77 kHz
+ Initial stress	19.55 kHz	11.05 kHz	7.87 kHz	5.67 kHz	4.42 kHz

The vibration behaviour of 3D printed membranes was modelled in COMSOL Multiphysics. Materials properties of the base PEGDA resin and PEGDA composites would be expected to vary significantly with the conditions during polymerization and display some anisotropy, which here have been reduced to a single bulk property via impedance analogy. Given the relatively high compliance of the diaphragms the acoustic compliance of the back cavity and the residual stress in the diaphragms would be expected to play a significant role. Results of calculations of resonance frequency from the diaphragm compliance only, diaphragm compliance with acoustic compliance of the cavity and diaphragm and acoustic compliance with an additional radial stress of 1.6 MPa are given in Table 2. All material property estimates are treated here as bulk properties and are obtained by curve-fitting calculated and simulated models



**Fig. 7.** Frequency response function for 3.5 mm PEGDA-barium titanate composite diaphragm and comparison with COMSOL simulation. The first 4 axisymmetric resonance modes are highlighted in green with a good fit found between simulation and measurement. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

to experimental results. For PEGDA-barium titanate composites the diaphragm compliance was much lower and calculations based purely on this gave a reasonable agreement with experimental results (Fig. 7).

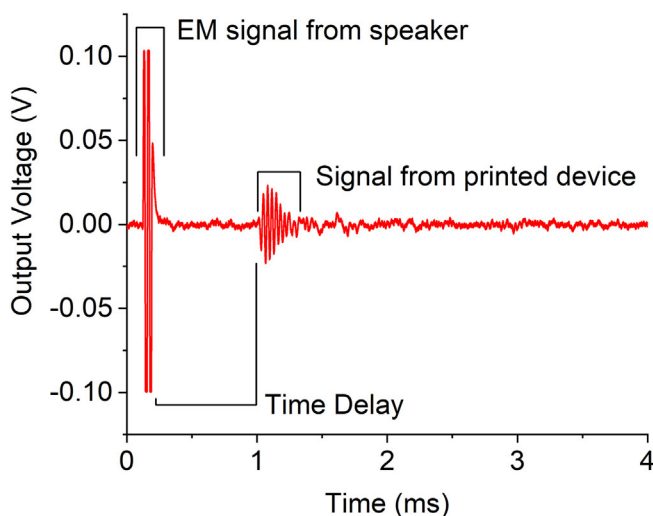
The final integration of these components into a single build comprised the PEGDA resin base support structure and two conductive layers on either side of a PEGDA-barium titanate composite diaphragm. A 4 mm diaphragm was chosen as having suitable resonance characteristics for an acoustic microphone while maximizing the mechanical sensitivity of the device. Electrode placement for the conductive layers was restricted to the outer 200  $\mu\text{m}$  of the diaphragm, where the expected strain would be concentrated. The full design and 3D printing work flow are shown in Fig. 3. The (0,0)

mode resonance for this diaphragm was recorded at 22 kHz, with a maximum electrical output in response to a stimulating sound field of 80 dB SPL (ref 20  $\mu\text{Pa}$ ) at 1 kHz of  $-87$  dB (V/Pa) with a signal to noise ratio, before averaging, of less than 0 dB. A trace of the microphone and pre-amplifier output is given in Fig. 8, showing the electrical output of the device first in response to the electromagnetic bang of the speakers followed by the true signal after an acoustic delay.

#### 4. Conclusions

This work demonstrates the creation via a digital light processing method of additive manufacturing of a complete, working piezo-electric microphone using a commercially available 3D printer. The process adopted here works within the limitations of a commercially available printer, necessitating manual changeovers of the printing vats in order to integrate multiple materials into a single build. A great scope for improving the manufacturing ease and timescales of the process exists if a more bespoke system is adopted, as demonstrated by Kowsari et al. [16]. for example, making material changes within a single layer practical however a far more fundamental limitation on the system has been the properties of the piezo-composite material. Measured values of  $d_{33}$  in the range of 2–3 pC/N place the composite's piezoelectric response in a similar range to that of sputtered aluminium nitride layers, and considerably poorer than that of PVDF at 20–30 pC/N, with a resulting poor signal to noise ratio. Higher piezoelectric coupling factors have been shown using smaller, or higher aspect ratio barium titanate nanoparticles [36,19] or the addition of surfactants or MWCNTs to the polymer matrix [37].

One of the more interesting, and complex, issues which has not been investigated here is the relationship between the polymerization process and post-curing and the anisotropy of material properties and stresses. For PEGDA resins the issues of polymer shrinkage are well known [10] and sometimes exploited to provide folding structures [38], however printing a single layer membrane brings a unique set of modelling challenges. As the membrane's thickness is determined by the threshold of dose energy in the polymer, material



**Fig. 8.** Electrical output of the complete device and pre-amplifier to a tone-burst signal at 30 kHz (80 dB SPL, ref 20  $\mu\text{Pa}$ ), with the electro-magnetic interference from the speaker coincident with the tone-burst signal and the measured electrical response being seen after a propagation delay of 0.78 ms.

at the base of the layer must necessarily be at the onset of solidification. The cross-link density in this region is therefore significantly changed from that of the bulk material, which for thin layers can have a noticeable impact on the stiffness and stress of the membrane. Both the issues of stress and enhancement of the piezo-composite are worthy of further work, with predictive models of polymerization threshold and light intensity such as those described by Gong et al. [14] having potential to be adapted for estimating tension in the diaphragm and improved patterning of piezoelectric composites to create a predictive model for fully integrated 3D printed functional and passive layers.

## Data availability

The raw data required to reproduce these findings are available to download from [doi.org/10.15129/f7d88ee2-14a9-42be-b3a8-54e7086f6e5e](https://doi.org/10.15129/f7d88ee2-14a9-42be-b3a8-54e7086f6e5e). The processed data required to reproduce these findings are available to download from [doi.org/10.15129/f7d88ee2-14a9-42be-b3a8-54e7086f6e5e](https://doi.org/10.15129/f7d88ee2-14a9-42be-b3a8-54e7086f6e5e).

## CRediT authorship contribution statement

**Benjamin Tiller:** Methodology, Investigation, Writing - original draft. **Andrew Reid:** Formal analysis, Investigation, Conceptualization, Writing - original draft, Writing - review & editing. **Botong Zhu:** Investigation, Methodology. **José Guerreiro:** Investigation, Methodology. **Roger Domingo-Roca:** Investigation, Resources. **Joseph Curt Jackson:** Supervision, Writing - review & editing. **J.F.C. Windmill:** Supervision, Funding acquisition, Conceptualization, Writing - review & editing.

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